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## ARIZONA CORPORATION COMMISSION

### Investor Questionnaire

**Re: Saguaro Investments, Inc.**

[Please Type or Print Legibly]

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_ Work Telephone: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Occupation (if retired, prior trade): \_\_\_\_\_

Education (highest level of schooling): \_\_\_\_\_

1. Have you or anyone in your family ever purchased products from, or invested in/ loaned money to the following entities or individuals (please circle the entity/ individual):

Owen A. Vilan / Lucy Vilan / Joe Morales / Francisco Moreno / Bernardo Reyes

Saguaro Investments, Inc. / Desert Group Investments / Vilan Enterprises / Moreno Investments

\_\_\_ yes \_\_\_ no

If no, please sign the last page of this questionnaire and return it in the self-addressed, stamped envelope provided with this questionnaire.

If yes, please indicate what type of purchase or investment(s) you have made, the date of purchase, the amount and with which of the above-named entities or individual:

<u>Investment/Purchase:</u> (note, stock, product, etc.)	<u>Date:</u>	<u>Dollar Amount:</u>	<u>Entities or individual:</u>
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_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

2. How did you learn of this investment? (If an individual, the name of the individual)

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Did you research this investment and/or the dealer/seller?

\_\_\_ yes \_\_\_ no

If so, what did you discover? \_\_\_\_\_

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Did you receive any advertising brochures?

\_\_\_ yes \_\_\_ no

3. Who sold you this investment?

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How did you contact the seller? (If a meeting took place, address of the meeting)

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Did the seller(s) of your investment(s) ever discuss the risks associated with your investment(s) prior to or at the time you invested?

\_\_\_ yes \_\_\_ no

If yes, which seller(s) and what types of risks were discussed? \_\_\_\_\_

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Did the seller(s) of your investment ever ask you of your net worth?

\_\_\_ yes \_\_\_ no

Did the seller(s) of your investment ever ask your annual income?

\_\_\_ yes \_\_\_ no

Did the seller(s) of your investment ever ask of your investment experience?

\_\_\_ yes \_\_\_ no

Did the seller of your investment ever ask of your ability to withstand the loss of this investment?

\_\_\_ yes \_\_\_ no

4. In total, how much money did you invest in the investment?

\$ \_\_\_\_\_

If you paid by check, who was the check made payable to?

\_\_\_\_\_

Did you pay in person or mail check?

\_\_\_\_\_

Address and entity/person check mailed to?

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Did you receive a receipt or proof of purchase?

\_\_\_ yes \_\_\_ no

If so, what? \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

5. Did you know what your investment funds were to be used for?

\_\_\_ yes \_\_\_ no

If yes, what did you believe they would be used for?

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Did you think there was any risk associated with your investment(s)?

\_\_\_ yes \_\_\_ no

If yes, what types of risks did you consider? \_\_\_\_\_

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6. What type of return did you expect to receive on your investment(s) and why?

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Which individual(s) made these representations?

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7. Have you received any return on your investment(s)?

\_\_\_ yes \_\_\_ no

If yes, on which investment(s) have you realized a return and how much have you currently received?

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If not, do you know why? \_\_\_\_\_

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8. When did you realize that there was a problem with your investment?

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9. Do you have any family involved with the company or other personal relationship/s with the current or former officers, directors and/or managing members of the entities?

\_\_\_ yes \_\_\_ no

If yes, who or what is that relationship?

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10. Have you made any similar investments before?

\_\_\_ yes \_\_\_ no

If yes, what type and when? \_\_\_\_\_

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Have you taken any courses on investment?

\_\_\_ yes \_\_\_ no

If yes, please list: \_\_\_\_\_

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11. What type of hardship has the loss created for you?

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Are you accredited? (Net worth over \$1 million or have an income over \$200,000 for the last two years [\$300,000 if joint])

\_\_\_ yes \_\_\_ no

12. Do you know of any other investors? (Name, address & telephone number)

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13. Would you be willing to testify in court or sign an affidavit?

\_\_\_ yes \_\_\_ no

Are you physically able to travel, in order to testify?

\_\_\_ yes \_\_\_ no

**With your reply, please attach any copies of investment checks (front and back), as well as any other evidence that investments have been made by you in on or more of the above-listed entities (such as promissory notes, stock certificates or membership certificates). If applicable, please also attach copies of any correspondence that you have had with any of the above listed entities and/or with any of its representatives.**

If you are aware of any other information that you feel the Securities Division might be interested in learning about, please feel free to write any additional information on the back side of this questionnaire, or alternatively, attach a separate page with your comments.

\_\_\_\_\_ Signature \_\_\_\_\_ Date

**Please return completed questionnaire to:**

Robert Eckert, Special Investigator  
Securities Division  
Arizona Corporation Commission  
1300 W. Washington St, 3rd Floor  
Phoenix, Az 85007-2996  
602-542-0539